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| APPLICATION NO.  | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|----------------|----------------------|-------------------------|------------------|
| 10/706,852   | 11/12/2003     | Gary L. Griffiths    | 40923-0079US5           | 6078             |
| 75   | 590 08/16/2006 |                      | EXAM                    | INER             |
| Heller Ehrman & McAuliffe Suite 300 1666 K Street Northwest Washington, DC 20006 |                |                      | HARRIS, ALANA M         |                  |
|  |                |                      | ART UNIT                | PAPER NUMBER     |
|  |                |                      | 1643                    |                  |
|  |                |                      | DATE MAILED: 08/16/2006 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Extension of time may be available under the provisions of 37 CER 1.136(a). In no event, however, may a reply be timely filled eather SN (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  Failure to reply within the set or extended period for reply will, by statute, sause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filled, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status  1) Responsive to communication(s) filled on   |   | Application No.                                       | Applicant(s)     |  |  |  |  |
|--|---|---|------------------|--|--|--|--|
| Alana M. Harris, Ph.D. 1643  - The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after St (s) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status  1) Responsive to communication(s) filled on  |   | 10/706,852  | GRIFFITHS ET AL. |  |  |  |  |
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| Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is general replaced for reply will, by statutie, cause the application to become ABANDONED (35 U.S.C. \$133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status  1) Responsive to communication(s) filed on  |   |   |                  |  |  |  |  |
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| 2a)       This action is FINAL.       2b)       This action is non-final.         3)       Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.         Disposition of Claims         4)       Claim(s) 1-125 is/are pending in the application.         4a)       Of the above claim(s) is/are withdrawn from consideration.         5)       Claim(s) is/are allowed.         6)       Claim(s) is/are rejected.         7)       Claim(s) is/are objected to.         8)       Claim(s) 1-125 are subject to restriction and/or election requirement.         Application Papers         9)       The specification is objected to by the Examiner.         10)       The drawing(s) filed on is/are: a)       accepted or b)       objected to by the Examiner.         Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).       Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).         11)       The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | Status  |   |                  |  |  |  |  |
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| 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) ☐ Claim(s) 1-125 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) 1-125 are subject to restriction and/or election requirement.  Application Papers  9) ☐ The specification is objected to by the Examiner.  10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |   |                  |  |  |  |  |
| Disposition of Claims  4)  | •   | ·   |                  |  |  |  |  |
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| Application Papers  9) The specification is objected to by the Examiner.  10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  | 6) Claim(s) is/are rejected.  | 6) Claim(s) is/are rejected.                          |                  |  |  |  |  |
| Application Papers  9) The specification is objected to by the Examiner.  10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  | •   |   |                  |  |  |  |  |
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| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |   |                  |  |  |  |  |
|  | ·   |   |                  |  |  |  |  |
| Priority under 35 U.S.C. § 119   | 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |                  |  |  |  |  |
|  | Priority under 35 U.S.C. § 119  |   |                  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  |   |   |                  |  |  |  |  |
| 1. Certified copies of the priority documents have been received.  |   |   |                  |  |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No   |   |   |                  |  |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage  |   |   |                  |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  |   |   |                  |  |  |  |  |
| See the attached detailed Office action for a list of the certified copies not received.   | See the attached detailed Office action for a list  | of the defined copies not reserve                     | <b>.</b>         |  |  |  |  |
| Attachment(s)  |   |   |                  |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  |   |   |                  |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date  Paper No(s)/Mail Date  Paper No(s)/Mail Date  Other:   | 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  | 5) Notice of Informal P                               |                  |  |  |  |  |

Application/Control Number: 10/706,852

Art Unit: 1643

## Election/Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - I. Claims 1-41 and 125, drawn to a composition comprising an immunoconjugate which comprises one or more anti-CD74 binding molecules conjugated to other molecules and a kit including said composition, classified in class 530, subclass 387.3.
  - II. Claims 42-118, drawn to a method of treating and/or diagnosing a disease or disorder comprising administering a therapeutic and/or diagnostic composition comprising an immunoconjugate, classified in class 424, subclass 9.1.
  - III. Claims 119-124, drawn to a method of preparing a carrier, classified in class 436, subclass 13.
- 2. The inventions are distinct, each from the other because of the following reasons: Inventions I and II are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product. See MPEP § 806.05(h). In the instant case, the composition of Invention I can be used in the *in vivo* method of Invention II or in an *in vitro* assay wherein the pharmacokinetic and biodistribution information of an immunoconjugate is determined to establish an efficacy profile.

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Inventions I and III are related as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product. See MPEP § 806.05(h). In the instant case, Invention III, the method of preparing a carrier comprising contacting said carrier with immunoconjugate of Invention I can be done by a materially different process with materially different components. A carrier can also be made by a surface treatment of lactose particles with aqueous ethanol solution and aerosolized.

- 3. Because these inventions are independent or distinct for the reasons given above and have acquired a separate status in the art in view of their different classification, restriction for examination purposes as indicated is proper.
- 4. Because these inventions are independent or distinct for the reasons given above and the inventions require a different field of search (see MPEP § 808.02), restriction for examination purposes as indicated is proper.
- 5. Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.

- 6. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).
- 7. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Alana M. Harris, Ph.D. whose telephone number is (571)272-0831. The Examiner works a flexible schedule, however she can normally be reached between the hours of 7:30 am to 6:30 pm, with alternate Fridays off.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Larry R. Helms, Ph.D. can be reached on (571) 272-0832. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

ALANA M. HARRIS, PH.D. PRIMARY EXAMINER

Marris, Ph.D.

01 August 2006